

Exhibit 14-E Report of Investigation

REPORT OF INVESTIGATION

(Name of LOCAL AGENCY)

REPORT OF INVESTIGATION

COUNTY	ROUTE	POST MILE	PROJECT #

Fed. Aid No.	
Notice to Owner No.	
Utility Owner	
Impacted Utility Facility	

This Report of Investigation is submitted for review and approval. The following support documents are attached:

Approval of FHWA Specific Authorization is ☐ is not ☐ requested.

- ☐ A copy of the Utility Relocation Plan as described in Sections 13.05.03.00 and 13.05.03.01 of the ROW Manual.
- ☐ A copy of the owner's liability claim letter.
- ☐ A copy of the owner's estimate of cost.
- ☐ A copy of the proposed Utility Agreement.
- ☐ A copy of the proposed Notice To Owner.
- ☐ A copy of the owner's prior rights claim supporting documentation.

1. PROJECT LOCATION AND DESCRIPTION:

2. LIABILITY DATA:

- A. PROJECT IS AN:
- | | |
|--------------|--------------------------|
| "On-System" | <input type="checkbox"/> |
| "Off-System" | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

B. DATES:

Route adoption: Dd/mm/yyyy (if applicable)

Freeway resolution: Dd/mm/yyyy (if applicable)

Appraisal map: Dd/mm/yyyy (if applicable)

Installation of Existing Facilities: Dd/mm/yyyy

C. UTILITY OWNER IS:

Public ☐
Private ☐

D. EXISTING UTILITY FACILITY IS LOCATED:

	Yes	No
1) In existing State Highway right of way?	<input type="checkbox"/>	<input type="checkbox"/>
2) On other public way, i.e., city street?	<input type="checkbox"/>	<input type="checkbox"/>
3) On private property?	<input type="checkbox"/>	<input type="checkbox"/>
4) Intersecting installation?	<input type="checkbox"/>	<input type="checkbox"/>
5) Longitudinal installation?	<input type="checkbox"/>	<input type="checkbox"/>

E. UTILITY OWNER'S AUTHORITY FOR INSTALLATION:

1) Fee-owned land	<input type="checkbox"/>
2) Recorded easement	<input type="checkbox"/>
3) Unrecorded easement	<input type="checkbox"/>
4) Prescriptive right	<input type="checkbox"/>
5) Joint Use Agreement (JUA) or Consent to Common Use Agreement (CCUA)	<input type="checkbox"/>
6) Franchise	<input type="checkbox"/>
7) State permit	<input type="checkbox"/>
8) County permit	<input type="checkbox"/>
9) City permit	<input type="checkbox"/>
10) Joint Pole Agreement*	<input type="checkbox"/>
11) Other (describe below)	<input type="checkbox"/>

F. Yes No

- ☐ ☐ Is the owner's authority for installation based on a deed (item E.1), 2), 3) or 5) above)? If **YES**, the preparer of this Report of Investigation asserts that to the best of her/his ability:
- ☐ ☐ The deed has been read.
- ☐ ☐ The description has been accurately plotted and is clearly shown on the attached plan.
- ☐ ☐ The title has been investigated and that:
- ☐ ☐ The grantor was the owner as of the date on the deed.
- ☐ ☐ The owner's facilities are located within the area described in the deed.
- ☐ ☐ The public agency has prior rights to the area described in the deed or recorded map to which the state will be the successor in interest. If **YES**, show the date the public acquired their rights and explain the nature of those rights here or in the narrative.

Yes No

- G. ☐ ☐ Are there contractual obligations contained in the documents checked in item E. above that require the owner to relocate, or are there special conditions in the owner's authority for installation?

If **YES**, explain here in narrative:

- H. LIABILITY RECOMMENDATION IS BASED ON (check all that apply):

- ☐ Section _____ of the Streets and Highway Code
- ☐ Section _____ of the Master Contract, dated _____
- ☐ Water Code Section 7034
- ☐ Water Code Section 7035
- ☐ Prior and superior rights of the Utility Owner
- ☐ Other, explain:

- I. LIABILITY APPORTIONMENT:

Agency _____ % Utility Owner _____ %

Explain
apportionment:

3. RELOCATION PLAN DETAILS:

- A. IT IS ANTICIPATED THE UTILITY WORK WILL BE COMPLETED BY:

Prior/concurrent/after
construction _____ (dd/mm/yyyy).

- B. ENCROACHMENTS:

- ☐ There will be no encroachments within the project area.
- ☐ There are encroachments **and**:

Yes No

☐ ☐ All new or existing encroachments comply with current agency's Policy.
If "NO," a copy of the letter approving the exception to the encroachment policy is attached.

☐ ☐ The Caltrans standard Encroachment Permit will be or has been issued. If "NO," explain in narrative.

C. LUMP SUM:

Yes No

☐ ☐ The lump sum payment method will be used.
If "YES," a detailed and itemized estimate is attached.

D. THIS RELOCATION WILL BE FUNDED WITH:

Yes No

☐ ☐ Agency funds only.

☐ ☐ Federal participation in the funding.

4. THE WORK WILL BE PERFORMED BY: (check those which apply)

☐ **The utility owner's forces:** The district has determined this is cost-effective and has verified the owner is qualified to perform the work in a satisfactory manner with its own personnel and equipment.

☐ **The utility owner's continuing contractor:** The district has determined this is cost-effective and verified the contract between the owner and the contractor is in writing and that similar work is regularly performed for the owner under the contract at reasonable costs.

☐ **Competitive bid contract:** The owner is not adequately staffed or equipped to perform the work with its own forces. The district will verify that the utility owner will award the contract for the work to the lowest qualified responsible bidder based on an appropriate solicitation

☐ **State's highway contractor:** The utility work is to be included in state's highway construction contract. The district has determined this is the most cost-effective method.

5. REVIEW OF ESTIMATE:

Depreciation: \$ _____ ☐ None*
Salvage: \$ _____ ☐ None* * If none, state why below.

6. BETTERMENT:

☐ There is no betterment.

☐ Betterment in the amount of \$ _____ has been identified for:

7. CERTIFICATION:

Yes No

☐☐

The project engineer has certified that the relocation plan will clear the project.

☐☐

The Utility Coordinator has reviewed the proposed relocation and has determined it is a cost-effective plan to functionally restore the utility owner's operating facilities that existed prior to the state's highway project.

8. ATTACH A NARRATIVE DISCUSSION OF THE PROPOSED UTILITY WORK TO THIS REPORT WHICH INCLUDES:

- A. Description of existing utility facility and its location.
- B. Discussion of owner's authority for installation of the existing utility facility.
- C. Description and justification of proposed relocation plan.
- D. Property rights needed.
- E. Any non-standard aspects of the relocation, documents, etc.

9. THE ESTIMATED COST TO THE PROJECT IS AS FOLLOWS:

Consisting of Design funds: \$ _____

Consisting of Construction funds: \$ _____

Consisting of Right of Way funds: \$ _____

Total: \$ _____

Prepared By:

Utility Coordinator

Date: _____

Approval

Recommended By:

Agency Director

Date: _____

Distribution: 1) Utility Coordinator, 2) DLAE – File, 3) District Utility Coordinator - File

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